

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
--	------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES  
AS OF 12/31/2018

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance				
A. Cash		\$ 3,490,103,759	5000	
B. Securities (at market)		463,597,048	5010	
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		80,696,787	5020	
3. Exchange traded options				
A. Market value of open option contracts purchased on a contract market		283,610,599	5030	
B. Market value of open option contracts granted (sold) on a contract market		(224,533,301)	5040	
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,093,474,892	5050	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,684,606	5060		
Less: amount offset by customer owned securities	(1,526,293)	5070	158,313	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,093,633,205	5090	

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash		\$ 1,359,973,750	5100	
B. Securities representing investments of customers' funds (at market)		79,117,884	5110	
C. Securities held for particular customers or option customers in lieu of cash (at market)		435,725,049	5120	
8. Margins on deposit with derivatives clearing organizations of contract markets				
A. Cash		25,792,784	5130	
B. Securities representing investments of customers' funds (at market)		2,311,924,930	5140	
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150	
9. Net settlement from (to) derivatives clearing organizations of contract markets		(5,213,783)	5160	
10. Exchange traded options				
A. Value of open long option contracts		283,610,599	5170	
B. Value of open short option contracts		(224,533,301)	5180	
11. Net equities with other FCMs				
A. Net liquidating equity		0	5190	
B. Securities representing investments of customers' funds (at market)		0	5200	
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210	
12. Segregated funds on hand (describe: See Attached )		27,871,999	5215	
13. Total amount in segregation (add lines 7 through 12)		\$ 4,294,269,911	5220	
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 200,636,706	5230	
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240	
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 80,636,706	5250	

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
--	------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 12/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 248,003,703	5615
	B. Securities (at market)	\$ 23,945,117	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 6,482,082	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 3,067,166	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(2,174,248)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 279,323,820	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0	5651
	Less: amount offset by customer owned securities	\$ 0	5652
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 279,323,820	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 279,323,820	5660

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
--	------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 12/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 125,391,884	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	13,181,182	5720	\$ 138,573,066	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 63,827,938	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	63,827,938	5770
3. Equities with registered futures commission merchants				
A. Cash	\$(10,913,078)	5780		
B. Securities	41,902,203	5790		
C. Unrealized gain (loss) on open futures contracts	15,626,564	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	46,615,689	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 2,860,040	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	2,860,040	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 40,997,928	5900		
B. Securities	42,819,676	5910		
C. Unrealized gain (loss) on open futures contracts	(9,807,047)	5920		
D. Value of long option contracts	3,067,166	5930		
E. Value of short option contracts	(2,174,248)	5935	74,903,475	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 326,780,208	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 47,456,388	5980
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5985
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			27,456,388	5990

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

**as of: 12/31/2018**

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance		
	A. Cash	\$(5,141,496)	8500
	B. Securities (at market)	0	8510
		9,755,250	8520
2.	Net unrealized profit (loss) in open cleared swaps		
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
		4,613,754	8550
4.	Net equity (deficit) (add lines 1, 2 and 3)		
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
		0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	4,613,754	8590

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 416,608	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
		14,366,411	8630
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	14,366,411	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
		(40,500)	8660
9.	Net settlement from (to) derivatives clearing organizations		
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
		0	8690
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8700
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8710
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8715
		0	8715
12.	Cleared swaps customer funds on hand (describe: )		
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	14,742,519	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 10,128,765	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 2,128,765	8770