

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
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CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 9/30/2018

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 3,591,482,054	5000
B. Securities (at market)		520,760,444	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(124,817,538)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		268,359,911	5030
B. Market value of open option contracts granted (sold) on a contract market		(251,497,073)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,004,287,798	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 2,200,001	5060	
Less: amount offset by customer owned securities	(2,070,798)	5070	129,203 5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,004,417,001	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 1,269,685,697	5100
B. Securities representing investments of customers' funds (at market)		77,931,685	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		500,264,791	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		21,500,493	5130
B. Securities representing investments of customers' funds (at market)		2,323,253,543	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		(5,386,063)	5160
10. Exchange traded options			
A. Value of open long option contracts		268,359,911	5170
B. Value of open short option contracts		(251,497,073)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached)		20,495,653	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,224,608,637	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 220,191,636	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 100,191,636	5250

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 9/30/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 242,954,166	5615
	B. Securities (at market)	\$ 22,056,778	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 6,411,755	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 3,624,182	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(2,734,065)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 272,312,816	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 120,847	5651
	Less: amount offset by customer owned securities	\$(119,803)	5652
		\$ 1,044	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 272,313,860	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 272,313,860	5660

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 9/30/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 127,039,742	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	15,435,605	5720	\$ 142,475,347	5730
Name(s): <u>See Attached</u>		5710		
2. Securities				
A. In safekeeping with banks located in the United States	\$ 32,048,994	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	32,048,994	5770
Name(s): <u>See Attached</u>		5750		
3. Equities with registered futures commission merchants				
A. Cash	\$(10,719,603)	5780		
B. Securities	34,951,420	5790		
C. Unrealized gain (loss) on open futures contracts	22,511,530	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	46,743,347	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 3,481,049	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	1,114	5860		
D. Value of long option contracts	1,990	5870		
E. Value of short option contracts	(1,990)	5875	3,482,163	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 32,694,902	5900		
B. Securities	79,974,290	5910		
C. Unrealized gain (loss) on open futures contracts	(18,269,771)	5920		
D. Value of long option contracts	3,622,192	5930		
E. Value of short option contracts	(2,732,075)	5935	95,289,538	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 320,039,389	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 47,725,529	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			27,725,529	5985

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 09/30/2018

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1.	Net ledger balance		
	A. Cash	\$ 6,805,455	8500
	B. Securities (at market)	0	8510
		(1,817,195)	8520
2.	Net unrealized profit (loss) in open cleared swaps		
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
		4,988,260	8550
4.	Net equity (deficit) (add lines 1, 2 and 3)		
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
		0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	4,988,260	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 348,912	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
		14,397,996	8630
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	14,397,996	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
		(293,520)	8660
9.	Net settlement from (to) derivatives clearing organizations		
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
		0	8690
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
		0	8715
12.	Cleared swaps customer funds on hand (describe:)		
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	14,453,388	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 9,465,128	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 1,465,128	8770