



**Daily Segregation - Cover Page**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

|   |             |   |
|---|-------------|---|
| a. Minimum dollar amount: ; or  | 120,000,000 |   |
| b. Minimum percentage of customer segregated funds required: % ; or         | 0           |   |
| c. Dollar amount range between: and ; or                                    | 0           | 0 |
| d. Percentage range of customer segregated funds required between: % and %. | 0           | 0 |

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

|  |            |   |
|--|------------|---|
| a. Minimum dollar amount: ; or   | 20,000,000 |   |
| b. Minimum percentage of customer secured funds required: % ; or         | 0          |   |
| c. Dollar amount range between: and ; or                                 | 0          | 0 |
| d. Percentage range of customer secured funds required between: % and %. | 0          | 0 |

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

|   |           |   |
|---|-----------|---|
| a. Minimum dollar amount: ; or  | 8,000,000 |   |
| b. Minimum percentage of cleared swaps customer collateral required: % ; or         | 0         |   |
| c. Dollar amount range between: and ; or  | 0         | 0 |
| d. Percentage range of cleared swaps customer collateral required between: % and %. | 0         | 0 |

Attach supporting documents



**ADM Investor Services Inc.**

Form: Daily Seg - 1-FR

End Date: 12/05/2018

Submit Date: 12/06/2018

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Page 2

**Daily Segregation - Secured Amounts**

**Foreign Futures and Foreign Options Secured Amounts**

|   |             |                          |
|---|-------------|--------------------------|
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | 0           | [5605]                   |
| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  |             |                          |
| A. Cash   | 278,486,247 | [5615]                   |
| B. Securities (at market)   | 22,194,000  | [5617]                   |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  | -11,944,372 | [5625]                   |
| 3. Exchange traded options  |             |                          |
| A. Market value of open option contracts purchased on a foreign board of trade  | 3,189,531   | [5635]                   |
| B. Market value of open contracts granted (sold) on a foreign board of trade  | -2,862,589  | [5637]                   |
| 4. Net equity (deficit) (add lines 1. 2. and 3.)  | 289,062,817 | [5645]                   |
| 5. Account liquidating to a deficit and account with a debit balances - gross amount  | 42,368      | [5651]                   |
| Less: amount offset by customer owned securities  | -39,582     | [5652]      2,786 [5654] |
| 6 Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)   | 289,065,603 | [5655]                   |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.   | 289,065,603 | [5660]                   |

**Funds Deposited in Separate Regulation 30.7 Accounts**

|  |             |                                |
|--|-------------|--------------------------------|
| 1. Cash in Banks   |             |                                |
| A. Banks located in the United States  | 149,663,317 | [5700]                         |
| B. Other banks qualified under Regulation 30.7   | 7,808,348   | [5720]      157,471,665 [5730] |
| 2. Securities  |             |                                |
| A. In safekeeping with banks located in the United States  | 60,804,000  | [5740]                         |
| B. In safekeeping with other banks designated by the Commission  | 0           | [5760]      60,804,000 [5770]  |
| 3. Equities with registered futures commission merchants   |             |                                |
| A. Cash  | -1,775,317  | [5780]                         |
| B. Securities  | 37,620,000  | [5790]                         |
| C. Unrealized gain (loss) on open futures contracts  | 5,922,959   | [5800]                         |
| D. Value of long option contracts  | 0           | [5810]                         |
| E. Value of short option contracts   | 0           | [5815]      41,767,642 [5820]  |
| 4. Amounts held by clearing organizations of foreign boards of trade                                       |             |                                |
| A. Cash  | 3,368,783   | [5840]                         |
| B. Securities  | 0           | [5850]                         |
| C. Amount due to (from) clearing organization - daily variation  | 30,850      | [5860]                         |
| D. Value of long option contracts  | 0           | [5870]                         |
| E. Value of short option contracts   | 0           | [5875]      3,399,633 [5880]   |
| 5. Amounts held by member of foreign boards of trade   |             |                                |
| A. Cash  | 42,960,641  | [5900]                         |
| B. Securities  | 47,520,000  | [5910]                         |
| C. Unrealized gain (loss) on open futures contracts  | -19,446,233 | [5920]                         |
| D. Value of long option contracts  | 3,189,531   | [5930]                         |
| E. Value of short option contracts   | -2,862,589  | [5935]      71,361,350 [5940]  |
| 6. Amounts with other depositories designated by a foreign board of trade                                  | 0           | [5960]                         |
| 7. Segregated funds on hand  | 0           | [5965]                         |
| 8. Total funds in separate section 30.7 accounts   | 334,804,290 | [5970]                         |
| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 45,738,687  | [5680]                         |
| 10. Management Target Amount for Excess funds in separate section 30.7 accounts                            | 20,000,000  | [5980]                         |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                     | 25,738,687  | [5985]                         |



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Page 3

**Daily Segregation - Segregation Statement**

**Segregation Requirements (Section 4d(2) of the CEAct)**

|   |               |                          |
|---|---------------|--------------------------|
| 1. Net ledger balance   |               |                          |
| A. Cash   | 3,698,792,356 | [5000]                   |
| B. Securities (at market)   | 536,901,645   | [5010]                   |
| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | -88,137,949   | [5020]                   |
| 3. Exchange traded options  |               |                          |
| A. Market value of open option contracts purchased on a contract market               | 363,087,710   | [5030]                   |
| B. Market value of open option contracts granted (sold) on a contract market          | -303,988,452  | [5040]                   |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)                                       | 4,206,655,310 | [5050]                   |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  | 3,367,404     | [5060]                   |
| Less: amount offset by customer owned securities                                      | -2,867,744    | [5070]    499,660 [5080] |
| 6. Amount required to be segregated (add lines 4 and 5)                               | 4,207,154,970 | [5090]                   |

**Funds in Segregated Accounts**

|   |               |        |
|---|---------------|--------|
| 7. Deposited in segregated funds bank accounts  |               |        |
| A. Cash   | 1,377,470,274 | [5100] |
| B. Securities representing investment of customers' funds (at market)                       | 171,526,718   | [5110] |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | 517,724,715   | [5120] |
| 8. Margins on deposit with derivatives clearing organizations of contract markets           |               |        |
| A. Cash   | 27,232,890    | [5130] |
| B. Securities representing investment of customers' funds (at market)                       | 2,248,380,318 | [5140] |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | 0             | [5150] |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets          | 5,433,645     | [5160] |
| 10. Exchange traded options   |               |        |
| A. Value of open long option contracts  | 363,087,710   | [5170] |
| B. Value of open short option contracts   | -303,988,452  | [5180] |
| 11. Net equities with other FCMs  |               |        |
| A. Net liquidating equity   | 0             | [5190] |
| B. Securities representing investment of customers' funds (at market)                       | 0             | [5200] |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | 0             | [5210] |
| 12. Segregated funds on hand  | 19,176,930    | [5215] |
| 13. Total amount in segregation (add lines 7 through 12)                                    | 4,426,044,748 | [5220] |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)                 | 218,889,778   | [5230] |
| 15. Management Target Amount for Excess funds in segregation                                | 120,000,000   | [5240] |
| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess   | 98,889,778    | [5250] |



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Page 4

**Daily Segregation - Swaps Statement**

**Statement Of Cleared Swaps Customer Segregation Requirements And Funds In Cleared**

**Swaps Customer Accounts Under 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

|  |            |                                      |
|--|------------|--------------------------------------|
| 1. Net ledger balance  |            |                                      |
| A. Cash  | -2,413,877 | [8500]                               |
| B. Securities (at market)  | 0          | [8510]                               |
| 2. Net unrealized profit (loss) in open cleared swaps                                | 8,171,350  | [8520]                               |
| 3. Cleared swaps options   |            |                                      |
| A. Market value of open cleared swaps option contracts purchased                     | 0          | [8530]                               |
| B. Market value of open cleared swaps option contracts granted (sold)                | 0          | [8540]                               |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)                                      | 5,757,473  | [8550]                               |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount | 0          | [8560]                               |
| Less: amount offset by customer owned securities                                     | 0          | [8570]                      0 [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  | 5,757,473  | [8590]                               |

**Funds in Cleared Swaps Customer Segregated Accounts**

|   |            |        |
|---|------------|--------|
| 7. Deposited in cleared swaps customer segregated accounts at banks   |            |        |
| A. Cash   | 393,732    | [8600] |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | 0          | [8610] |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | 0          | [8620] |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |            |        |
| A. Cash   | 13,937,556 | [8630] |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | 0          | [8640] |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | 0          | [8650] |
| 9. Net settlement from (to) derivatives clearing organizations  | 649,200    | [8660] |
| 10. Cleared swaps options   |            |        |
| A. Value of open cleared swaps long option contracts  | 0          | [8670] |
| B. Value of open cleared swaps short option contracts   | 0          | [8680] |
| 11. Net equities with other FCMs  |            |        |
| A. Net liquidating equity   | 0          | [8690] |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | 0          | [8700] |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | 0          | [8710] |
| 12. Cleared swaps customer funds on hand  |            |        |
| A. Cash   | 0          |        |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | 0          |        |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | 0          | [8715] |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                             | 14,980,488 | [8720] |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)          | 9,223,015  | [8730] |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                          | 8,000,000  | [8760] |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)                    | 1,223,015  | [8770] |
| Management Target Excess  |            |        |