



**ADM Investor Services, Inc.**

1600A Board of Trade Building  
141 W. Jackson Boulevard  
Chicago, IL 60604  
T 312.435.7000 F 312.435.7045

**CPO EXEMPTION NOTICE**

Date: \_\_\_\_\_

Dear Participant:

Pool Name: \_\_\_\_\_

THE COMMODITY POOL OPERATOR OF THIS POOL IS NOT REQUIRED TO REGISTER, AND HAS NOT REGISTERED, WITH THE COMMODITY FUTURES TRADING COMMISSION. THEREFORE, UNLIKE A REGISTERED COMMODITY POOL OPERATOR, THIS COMMODITY POOL OPERATOR IS NOT REQUIRED BY THE COMMISSION TO FURNISH A DISCLOSURE DOCUMENT, PERIODIC ACCOUNT STATEMENTS, AND AN ANNUAL REPORT TO PARTICIPANTS IN THE POOL.

Please be advised that the commodity pool operator is exempt from registration pursuant to Section 4.13(a) (2) of the Commodity Exchange Act, which states that a person is not required to register under the Act as a commodity pool operator if:

- (i) The total gross capital contributions it receives for units of participation in all of the pools that it operates or intends to operate do not in the aggregate exceed \$400,000; and
- (ii) None of the pools operated by it has more than 15 participants at any time.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title (General Partner, Non-Registered Pool Operator, etc.)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip Code

\_\_\_\_\_  
Telephone Number