

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
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CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 12/31/2019

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 4,083,542,713	5000
B. Securities (at market)		583,599,173	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(398,784,448)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		261,548,579	5030
B. Market value of open option contracts granted (sold) on a contract market		(246,769,771)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,283,136,246	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,117,604	5060	
Less: amount offset by customer owned securities	(1,075,992)	5070	
		41,612	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,283,177,858	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 1,637,437,376	5100
B. Securities representing investments of customers' funds (at market)		127,565,754	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		309,035,048	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		53,787,517	5130
B. Securities representing investments of customers' funds (at market)		2,047,964,277	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		239,204,978	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		29,656,882	5160
10. Exchange traded options			
A. Value of open long option contracts		261,548,579	5170
B. Value of open short option contracts		(246,769,771)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached)		35,359,147	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,494,789,787	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 211,611,929	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 91,611,929	5250

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 12/31/2019

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 233,981,605	5615
B. Securities (at market)		\$ 29,629,956	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 15,392,080	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 1,790,938	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(982,094)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 279,812,485	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 109	5651
Less: amount offset by customer owned securities		\$ 0	5652
		\$ 109	5654
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 279,812,594	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 279,812,594	5660

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 12/31/2019

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 126,268,475	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	32,965,607	5720	\$ 159,234,082	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 29,629,956	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	29,629,956	5770
3. Equities with registered futures commission merchants				
A. Cash	\$(104,653)	5780		
B. Securities	49,792,025	5790		
C. Unrealized gain (loss) on open futures contracts	633,241	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	50,320,613	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 1,929,572	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	1,929,572	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 69,441,879	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	14,431,311	5920		
D. Value of long option contracts	1,790,938	5930		
E. Value of short option contracts	(982,094)	5935	84,682,034	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 325,796,257	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 45,983,663	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			25,983,663	5985

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 12/31/2019

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1.	Net ledger balance		
	A. Cash	\$ 937,637	8500
	B. Securities (at market)	0	8510
2.	Net unrealized profit (loss) in open cleared swaps	(631,500)	8520
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)	306,137	8550
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	306,137	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 220,909	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	9,560,589	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	(3,250)	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	9,778,248	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 9,472,111	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 1,472,111	8770