



**ADM Investor Services Inc.**

Form: Daily Seg - 1-FR

End Date: 03/24/2020

Submit Date: 03/25/2020

**CONTACT INFORMATION:**

Richy Macanip

312-242-7110

richy.macanip@admis.com

Page 1

**Daily Segregation - Cover Page**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or	120,000,000	
b. Minimum percentage of customer segregated funds required: % ; or	0	
c. Dollar amount range between: and ; or	0	0
d. Percentage range of customer segregated funds required between: % and %.	0	0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or	20,000,000	
b. Minimum percentage of customer secured funds required: % ; or	0	
c. Dollar amount range between: and ; or	0	0
d. Percentage range of customer secured funds required between: % and %.	0	0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or	8,000,000	
b. Minimum percentage of cleared swaps customer collateral required: % ; or	0	
c. Dollar amount range between: and ; or	0	0
d. Percentage range of cleared swaps customer collateral required between: % and %.	0	0

Attach supporting documents



**ADM Investor Services Inc.**

Form: Daily Seg - 1-FR

End Date: 03/24/2020

Submit Date: 03/25/2020

**CONTACT INFORMATION:**

Richy Macanip

312-242-7110

richy.macanip@admis.com

Page 2

**Daily Segregation - Secured Amounts**

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	0	[5605]
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
A. Cash	202,980,413	[5615]
B. Securities (at market)	28,090,000	[5617]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	-5,097,586	[5625]
3. Exchange traded options		
A. Market value of open option contracts purchased on a foreign board of trade	12,068,312	[5635]
B. Market value of open contracts granted (sold) on a foreign board of trade	-5,724,256	[5637]
4. Net equity (deficit) (add lines 1. 2. and 3.)	232,316,883	[5645]
5. Account liquidating to a deficit and account with a debit balances - gross amount	0	[5651]
Less: amount offset by customer owned securities	0	[5652]      0 [5654]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	232,316,883	[5655]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	232,316,883	[5660]

**Funds Deposited in Separate Regulation 30.7 Accounts**

1. Cash in Banks		
A. Banks located in the United States	109,421,357	[5700]
B. Other banks qualified under Regulation 30.7	10,904,680	[5720]      120,326,037 [5730]
2. Securities		
A. In safekeeping with banks located in the United States	33,040,000	[5740]
B. In safekeeping with other banks designated by the Commission	0	[5760]      33,040,000 [5770]
3. Equities with registered futures commission merchants		
A. Cash	21,754,668	[5780]
B. Securities	44,550,000	[5790]
C. Unrealized gain (loss) on open futures contracts	-15,575,571	[5800]
D. Value of long option contracts	0	[5810]
E. Value of short option contracts	0	[5815]      50,729,097 [5820]
4. Amounts held by clearing organizations of foreign boards of trade		
A. Cash	1,573,208	[5840]
B. Securities	0	[5850]
C. Amount due to (from) clearing organization - daily variation	6,180	[5860]
D. Value of long option contracts	0	[5870]
E. Value of short option contracts	0	[5875]      1,579,388 [5880]
5. Amounts held by member of foreign boards of trade		
A. Cash	58,284,749	[5900]
B. Securities	0	[5910]
C. Unrealized gain (loss) on open futures contracts	9,878,005	[5920]
D. Value of long option contracts	12,068,312	[5930]
E. Value of short option contracts	-5,724,256	[5935]      74,506,810 [5940]
6. Amounts with other depositories designated by a foreign board of trade	0	[5960]
7. Segregated funds on hand	0	[5965]
8. Total funds in separate section 30.7 accounts	280,181,332	[5970]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	47,864,449	[5680]
10. Management Target Amount for Excess funds in separate section 30.7 accounts	20,000,000	[5980]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	27,864,449	[5985]



**ADM Investor Services Inc.**

Form: Daily Seg - 1-FR

End Date: 03/24/2020

Submit Date: 03/25/2020

**CONTACT INFORMATION:**

Richy Macanip

312-242-7110

richy.macanip@admis.com

Page 3

**Daily Segregation - Segregation Statement**

**Segregation Requirements (Section 4d(2) of the CEAct)**

1. Net ledger balance		
A. Cash	3,247,278,040	[5000]
B. Securities (at market)	532,829,217	[5010]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market	684,974,113	[5020]
3. Exchange traded options		
A. Market value of open option contracts purchased on a contract market	496,742,537	[5030]
B. Market value of open option contracts granted (sold) on a contract market	-510,880,142	[5040]
4. Net Equity (deficit) (add lines 1, 2, and 3)	4,450,943,765	[5050]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	8,039,541	[5060]
Less: amount offset by customer owned securities	-7,547,059	[5070]    492,482 [5080]
6. Amount required to be segregated (add lines 4 and 5)	4,451,436,247	[5090]

**Funds in Segregated Accounts**

7. Deposited in segregated funds bank accounts		
A. Cash	1,587,589,394	[5100]
B. Securities representing investment of customers' funds (at market)	20,045,455	[5110]
C. Securities held for particular customers or option customers in lieu of cash (at market)	391,603,446	[5120]
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	74,916,594	[5130]
B. Securities representing investment of customers' funds (at market)	2,441,714,092	[5140]
C. Securities held for particular customers or option customers in lieu of cash (at market)	114,425,000	[5150]
9. Net settlement from (to) derivatives clearing organizations of contract markets	21,471,960	[5160]
10. Exchange traded options		
A. Value of open long option contracts	496,742,537	[5170]
B. Value of open short option contracts	-510,880,142	[5180]
11. Net equities with other FCMs		
A. Net liquidating equity	0	[5190]
B. Securities representing investment of customers' funds (at market)	0	[5200]
C. Securities held for particular customers or option customers in lieu of cash (at market)	0	[5210]
12. Segregated funds on hand	26,800,771	[5215]
13. Total amount in segregation (add lines 7 through 12)	4,664,429,107	[5220]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	212,992,860	[5230]
15. Management Target Amount for Excess funds in segregation	120,000,000	[5240]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	92,992,860	[5250]



**ADM Investor Services Inc.**

Form: Daily Seg - 1-FR

End Date: 03/24/2020

Submit Date: 03/25/2020

**CONTACT INFORMATION:**

Richy Macanip

312-242-7110

richy.macanip@admis.com

Page 4

**Daily Segregation - Swaps Statement**

**Statement Of Cleared Swaps Customer Segregation Requirements And Funds In Cleared**

**Swaps Customer Accounts Under 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance		
A. Cash	154,709	[8500]
B. Securities (at market)	0	[8510]
2. Net unrealized profit (loss) in open cleared swaps	36,000	[8520]
3. Cleared swaps options		
A. Market value of open cleared swaps option contracts purchased	0	[8530]
B. Market value of open cleared swaps option contracts granted (sold)	0	[8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)	190,709	[8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	0	[8560]
Less: amount offset by customer owned securities	0	[8570]                      0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	190,709	[8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	252,566	[8600]
B. Securities representing investments of cleared swaps customers' funds (at market)	0	[8610]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	[8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash	9,540,556	[8630]
B. Securities representing investments of cleared swaps customers' funds (at market)	0	[8640]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	[8650]
9. Net settlement from (to) derivatives clearing organizations	0	[8660]
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts	0	[8670]
B. Value of open cleared swaps short option contracts	0	[8680]
11. Net equities with other FCMs		
A. Net liquidating equity	0	[8690]
B. Securities representing investments of cleared swaps customers' funds (at market)	0	[8700]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	[8710]
12. Cleared swaps customer funds on hand		
A. Cash	0	
B. Securities representing investments of cleared swaps customers' funds (at market)	0	
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	[8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	9,793,122	[8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	9,602,413	[8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	8,000,000	[8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	1,602,413	[8770]
Management Target Excess		