



ADM Investor Services, Inc.
2100A Board of Trade Building
141 W. Jackson Boulevard
Chicago, Illinois 60604
t (312) 242.7000 | f (312) 242.7045
WWW.ADMIS.COM

Public Statement Regarding the Chief Compliance Officer Annual Report of ADM Investor Services, Inc.

Pursuant to CFTC Regulation 3.3(e) the Chief Compliance Officer (“CCO”) of ADM Investor Services, Inc. (“ADMIS”) prepared a report each year. The report is provided to the Board of Directors and the President of ADMIS. The report is also filed with the CFTC. The CME Group and other regulators also have access to the CCO Report.

The ADMIS Chief Compliance Officer Annual Report:

- Contains a description of the written policies and procedures, including the code of ethics and conflicts of interest policies, of ADMIS
- Reviews each applicable requirement under the Commodity Exchange Act and CFTC regulations, and with respect to each:
 - Identifies the policies and procedures that are reasonably designed to ensure compliance with the requirement under the Act and regulations;
 - Provides an assessment as to the effectiveness of these policies and procedures; and
 - Discusses areas for improvement, and recommends potential or prospective changes or improvements to its compliance program and resources devoted to compliance;
- Lists any material changes to compliance policies and procedures during the year;
- Describes the financial, managerial, operational, and staffing resources set aside for compliance with respect to the Act and regulations, including any material deficiencies in such resources; and
- Notes that no material non-compliance matters were identified.

The Report provides a significant amount of information regarding ADMIS developed policies and procedures, and an evaluation of such. Moreover, the Report identifies and evaluates the resources, human and systems, which ADMIS deploys. Operating in a highly competitive industry as a Futures Commission Merchant, ADMIS diligently keeps confidential the commercial information in the Report as it constitutes a critical portion of its competitive advantage.